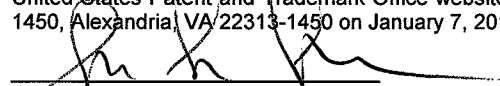


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: RAMAKRISHNA, Venkata Satya Nirogi, et al.
Appl. No.: 10/539,262
Filed: November 13, 2006
Title: TETRACYCLIC 3-SUBSTITUTED INDOLES HAVING SEROTONIN RECEPTOR
AFFINITY
Grp. A.U.: 1626
Examiner: SHAMEEM, Golam M.
Docket No. SUB 0007 US
Confirm No. 9286

Certification under 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being transmitted by uploading on the USPTO E-file system (e-file registered user) to the United States Patent and Trademark Office website, to the attention of and addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 7, 2010.


Sean S. Swidler – Reg. No. 49033

AUTHORIZATION TO PAY AND PETITION FOR ACCEPTANCE OF ANY NECESSARY FEES. If charges or fees must be paid in connection with the following Communication (including, but not limited to, the payment of issues fees), they may be paid out of our deposit account No. 50-3993. If this payment also requires a Petition, please construe this Authorization to Pay as the necessary Petition required to accompany the payment. This authorization remains in effect throughout the pendency of this application, and applies to all papers filed therein requiring the payment of a fee.

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE TO OFFICE ACTION

Dear Sir:

This communication is in response to the Office Action issued on October 9, 2009.

Amendments to the claims begin on page 2 of this paper;

Remarks begin on page 16 of this paper.